

# FINRA Securities Helpline for Seniors™

A toll-free number that senior investors can call to get assistance from the Financial Industry Regulatory Authority (FINRA) or raise concerns about issues with brokerage accounts and investments.



**Call 844-57-HELPS (844-574-3577)**  
**Monday – Friday • 9 a.m. – 5 p.m. Eastern Time**  
***[www.finra.org/seniorhelpline](http://www.finra.org/seniorhelpline)***

Are you a senior investor with questions about your brokerage account statement or an investment in a brokerage account? Are you concerned that your account may have been mishandled by a broker? To help you with these and other investment-related questions, FINRA provides the Securities Helpline for Seniors.

## Why Should You Call?

- ▶ To better understand how to review your investment portfolio or account statements;
- ▶ To raise concerns about the handling of a brokerage account; and
- ▶ To get information about investor tools and resources from FINRA, including BrokerCheck.®

## Who Should Call?

- ▶ Seniors who have questions or concerns about their investment accounts.

## What Can You Expect?

- ▶ During business hours, a FINRA staff member will answer your call and address your questions.
- ▶ If your concerns are not resolved during that call, he or she will call you back or refer you to another regulator or agency for help.

FINRA, the Financial Industry Regulatory Authority, is an independent regulatory organization empowered by the federal government to ensure that America's investors are protected. We provide investors with unbiased information and tools to help investors make informed financial decisions.